

ANNUAL GOVERNANCE STATEMENT 2010/11
ACTION PLAN for implementation in 2011/12

STATUS	CONTROL ISSUE	ACTION PROPOSED	RESPONSIBILITY	TARGET DATE	CURRENT POSITION & COMMENTS
Brought Forward From 2007/2008 Action Plan	<u>Asset Management</u> The Audit Commission KIoE for UoR Action Plan 2008 notes that the Council' approach to Asset Management did not reach level 3 assessment. (Level 3 requires that the council maintains an effective asset register.).	That the software bought to assist with asset management be installed and commissioned as a priority.	Corporate Director (s151) [Head of Resources]	Fully functional by 31/12/09	COMPLETED
New 2009/2010	There are significant changes to IT systems supporting services planned over the forthcoming year and beyond. There is the risk that system controls will be compromised during this period.	<ul style="list-style-type: none"> • All projects are run using established project management methodology. • Internal audit will be involved in working groups as appropriate. • System specifications ensure appropriate controls. 	Corporate Director (s151) as Chair of ICT Programme Board.	Continuing	COMPLETED
Brought Forward From 2008/09	The role and responsibilities of member champions are not established within the Council	Review to be undertaken setting out necessary information for member champions and officer leads.	Head of Transformation	To be completed by 30/9/2009	COMPLETED

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Brought Forward From 2008/09	Corporate Business Continuity Plan (BCP) requires testing for resilience and further training may be required.	Use consultancy days from our insurers and specialist to ensure the plan is fit for purpose and appropriate staff have currency of knowledge.	Corporate (s151) Director	To be completed by 31/12/2011	Work ongoing with NYCC - Carry forward as ongoing issue in 2011/2012
New 2009/2010	Risk of compromise and weaknesses in operational systems as a consequence of reduced staffing over forthcoming years through downsizing as Government funding cuts made.	<ul style="list-style-type: none"> • Where changes in staffing occur, that changes in operating arrangements are reviewed prior to reducing the controls. • Internal audit are included in working groups reviewing operating systems and arrangements, including commissioning, partnership arrangements etc. 	Corporate (s151) Director	Continuing	COMPLETED IN 2010/2011, Carry forward as ongoing issue in 2011/2012
New 2010/11	With the potential for new partnerships and changes to existing ones over the forthcoming year there is a risk that system controls could be compromised during the period.	<ul style="list-style-type: none"> • Partnership risk register to be considered by O and S • Partnership changes to be properly project managed • Internal audit will be involved in working groups and project teams as appropriate • Ensure partnership protocol is followed 	Corporate Director (s151)	Ongoing	New control Issue