

## **Ryedale District Council**

### **1<sup>st</sup> Draft of the Annual Governance Statement 2021/22**

#### **Scope of Responsibility**

Ryedale District Council is responsible for ensuring that its business is conducted in accordance with the law and proper standards, and that public money allocated to it is safeguarded, properly accounted for, and used economically, efficiently and effectively. The Council also has a duty under the Local Government Act 1999 to make arrangements to secure continuous improvement in the way in which its functions are exercised, having regard to a combination of economy, efficiency and effectiveness. In discharging this overall responsibility, the Council is responsible for putting in place proper arrangements for the governance of its affairs, facilitating the effective exercise of its functions, including arrangements for the management of risk.

This statement is prepared with consideration to the CIPFA Application of the Good Governance Framework 2020/21 (CIPFA Bulletin 06). That statement notes that:

“The preparation and publication of an annual governance statement in accordance with Delivering Good Governance in Local Government: Framework (2016) would fulfil the statutory requirements across the UK for a local authority to conduct a review at least once in each financial year of the effectiveness of its system of internal control and to include a statement reporting on the review with its statement of accounts. In England the Accounts and Audit Regulations 2015 stipulate that the annual governance statement must be “prepared in accordance with proper practices in relation to accounts”. Therefore, a local authority in England shall provide this statement in accordance with Delivering Good Governance in Local Government: Framework (2016) and this section of the Code”.

Ryedale’s Annual Governance Statement has been prepared alongside consideration of the core principles and sub-principles of good governance as set out in that Framework.

#### **Purpose of the Governance Framework**

The governance framework comprises the systems and processes, culture and values, by which the Council directs and controls its activities, and how it leads, engages with and is accountable to the community it serves. It enables the Council to monitor the achievement of its strategic objectives and to consider whether those objectives have led to the delivery of appropriate, cost-effective services.

The system of internal control is a significant part of that framework and is designed to manage risk to an acceptable level. It cannot eliminate all risk of failure to achieve the Council’s aims and objectives, but it seeks to provide reasonable rather than absolute assurance of effectiveness.

The system of internal control is based on an ongoing process designed to identify, prioritise and manage the risks to the achievement of the Council’s aims and objectives.

The governance framework has been in place at Ryedale District Council for the year ended 31 March 2022 and up to the date of approval of the annual statement of accounts.

The Council's Governance Framework addresses the way the Council is controlled and managed, both strategically and operationally, and how it will deliver its services. The Framework recognises that the Council's business is focused upon its corporate priorities and seeks to facilitate delivery to our local communities of the goals set out in the Corporate Plan. To improve the effectiveness of this, the Council built on the Health Checks commissioned in 2018 and 2019 by implementing action plans and strengthening capacity in areas described below. Further reviews - such as by the Society for innovation, technology and modernisation SOCITM - have been undertaken in a spirit of continuous improvement and a Council Plan 2020-24 is in place.

The structures and processes, risk management and other internal control systems, such as standards of conduct, form part of this Framework, which is about managing the barriers to achieving the Council's objectives.

Members and senior officers are responsible for putting in place proper arrangements for the governance of the Council's affairs and the stewardship of the resources at its disposal. This is led by the Chief Executive and Section 151 Officer, both appointed in 2018, in conjunction with the Council's Programme Directors, Monitoring Officer/Head of Governance and the Head of HR. Through their Strategic Management Board meetings, they have commissioned and taken action to address governance related issues, and briefed relevant Committees for consideration. Overview and Scrutiny have also pursued a robust approach to strengthening governance arrangements in key areas such as risk management. A review of capacity in Corporate Governance has also taken place, with additional resources invested to ensure that necessary levels of resourcing are in place.

The Council has designed systems and processes to regulate, monitor and control its activities in order to achieve its vision and objectives.

The key elements of the Council's Governance Framework are as follows:-

- The Council's key priorities during 2021/22 were reflected in its Council Plan 2020-24, which was approved by Full Council in September 2020. This sets out the following key aims:
  - Support strong, inclusive and attractive communities
  - Harness Ryedale's unique economy to deliver growth, homes and jobs
  - Create an environment that is sustainable, safe and clean
  - Develop our organisation as an innovative, enterprising Council
- The Constitution sets out how the Council operates, how decisions are made, and the procedures that are followed to ensure that these are lawful, efficient, transparent and accountable to local people. This incorporates the Members' Code of Conduct and a number of other locally agreed codes and protocols.
- The Council's budget and policy framework is set by the full Council. The Policy and Resources Committee has delegated authority to operate and make decisions within the framework. Some powers are delegated to senior officers.
- In addition to the Policy and Resources Committee there are two specific regulatory committees for Licensing and Planning. These have independent powers within their legislative framework. Each of these acts within defined terms of reference agreed by the full Council.

- Throughout 2021/22 Council committee meetings were held physically with officers and other participants (such as External Auditors) able to join through MS Teams. They have been broadcast to be able to be viewed by members of the public.
- The Overview and Scrutiny Committee acting as a Corporate Governance Standards Committee has monitored standards of conduct of Members and advised the Council on probity issues. Entries made in the Register of Members' Interests were reviewed by the Monitoring Officer.
- Council decisions are subject to review by the Council's Scrutiny function, which has the ability to call-in and review decisions and also to contribute to the development of policy.
- The committee management system (modern.gov) enables the recording, tracking and monitoring of committee agenda, minutes, reports and decision records.
- Council meetings are accessible for the public except when exempt or confidential matters are being disclosed. During 2021/22 this has been accomplished by introducing Remote Meeting Rules within the Constitution and broadcasting remote meetings, as well as making them available to view afterwards on YouTube. The public have an opportunity to participate in some of the meetings. This has been facilitated by initially asking people to provide questions in advance of the meeting and latterly by providing access to the remote meeting via MSTeams. Since the ending of legislation permitting remote meetings on 7th May 2021 the Council has facilitated face to face Covid secure council meetings. Meetings are streamed live using MSTeams and YouTube. They continue to be open to the public.
- A number of areas are delegated to officers for the purposes of decision-making; however, limits on the exercise of delegation are laid down in the Council's Constitution. The Council also has a specific delegation scheme for Planning which is published on the website.
- The Council has adopted a Local Code of Corporate Governance
- The Council has a Counter Fraud and Corruption Strategy, covering 2020-24 and a Counter Fraud and Corruption Policy, which are reviewed annually. A revised strategy and policy were approved by Overview and Scrutiny (acting as Audit) Committee in January 2021. The Council also has separate Speak Out and Anti Money Laundering Policies. The Council employs Veritau to provide a counter fraud service.
- The Chief Executive post is also an Assistant Chief Executive at North Yorkshire County Council (NYCC). The two councils are working together to support efficiencies and deliver improved services through effective partnership working.
- The Head of Corporate Governance also acts as the Council's Monitoring Officer. The appointment of a Monitoring Officer is required in accordance with Section 5 of the Local Government and Housing Act 1989. It is the function of the Monitoring Officer to report to Members upon any contravention of any enactment or rule of law or any maladministration by the Authority. The Monitoring Officer also has responsibilities relating to the Members' Code of Conduct.
- The Chief Finance Officer (s151) (a joint role employed by NYCC where the

postholder is an Assistant Director for Strategic Resources) is the officer with statutory responsibility for the proper administration of the Council's financial affairs, in accordance with the Section 151 of the Local Government Act 1972. In compliance with CIPFA's "Statement on the Role of the Chief Financial Officer in Local Government", Ryedale is in full compliance as the Chief Officer (s151) is a member of the Strategic Management Board.

- Both the Statutory Officers referred to above have unfettered access to information, to the Chief Executive and to Members of the Council in order that they can discharge their responsibilities effectively. The functions of these Officers and their roles are clearly set out in the Council's Constitution.
- Financial sustainability is a key risk for the Council and a robust financial management framework is fundamental to managing and mitigating that risk. It comprises:
  - Financial and Contract Procedure Rules as part of the Constitution;
  - A Financial Strategy which provides the framework for financial planning – projecting high level resources and spending over 10 years, it identifies the short, medium and long term financial issues the Council is dealing with and its approach to managing reserves;
  - Medium-term financial planning using a three-year cycle, updated annually, to align resources to corporate priorities. Due to the impact of Covid-19 a revised budget and medium term financial strategy was approved by the Council in September 2020.
  - Service and financial planning integrated within the corporate performance management cycle and linked to the Council's corporate objectives;
  - Annual budget process involving scrutiny and challenge;
  - Quarterly monitoring by management of revenue and capital budgets – with regular reports to Policy and Resources Committee;
  - Production annually of a Statement of Accounts compliant with the requirements of local authority accounting practice;
  - Compliance with requirements established by CIPFA.
- Corporate programme, which is produced with explicit goals and associated performance targets related to the delivery of the Council Plan 2020-2024 in order to ensure that achievement of performance is measurable;
- The Council's staff performance approach links personal objectives directly to the corporate programme and ultimately to the Council Plan 2020-2024;
- Regular reports to the Overview and Scrutiny Committee on complaints and compliments
- The Council maintains a professional relationship with Grant Thornton, the body responsible for the external audit of the Council. Regular review meetings are held with the Chief Executive and s151 Officer and reports presented to Overview and Scrutiny in its role as Audit Committee.
- Recruitment and selection procedures are based on recognised good practice and all staff posts have a formal job description and competency-based person specification. Services are delivered and managed by staff with the necessary knowledge and

expertise with training needs identified via the formal appraisal process

- Pay is governed by a Pay Policy considered and approved annually by Council.
- A revised approach to managing risk has been adopted in recent years.
  - This has led to an overhaul of the Corporate Risk Register and the development of Service Risk Registers. Advice and guidance has been provided by Veritau and in some cases by North Yorkshire County Council. This work has been recognised and endorsed by members who see it as an important part of the Council's improvement strategy. As part of that, an update on Risk Management, including the Corporate Risk Register, is presented to Audit Committee twice a year.
  - A Risk Management guidance document has been developed for key staff along with risk management training;
  - The maintenance of the Corporate Risk Register comprising risks for the Council as a whole, assigned to designated officers, with appropriate counter-measures and an action plan established for each key risk;
  - As well as presentation of this to Committee, Strategic Management Board also keeps the corporate risk management arrangements under review and examine and challenge this and service risk registers
  - Management and Internal Audit of a risk-based approach in the preparation and delivery of the audit plan;
  - Officers of the Council are required to consider risk management issues when submitting reports to Committees and Council for consideration by Members. This includes managing risks around equalities, safeguarding and health and safety.
- The Council has established a Corporate Information Governance Group (CIGG) in order to address the requirements of the General Data Protection Regulation (GDPR) which came into effect on 25th May 2018. The Council's Senior Information Risk Owner (SIRO) is the Head of Corporate Governance. The CIGG includes representatives from Veritau, who have been engaged as the Council's Data Protection Officer (DPO), a requirement of the GDPR. Veritau provide regular updates to the Audit Committee.
- The maintenance of an adequate and effective system of Internal Audit is a requirement of the Accounts & Audit Regulations. Internal Audit is provided by Veritau North Yorkshire Ltd. (VNY), which is part of the Veritau group. The work of Internal Audit is governed by the Accounts and Audit Regulations 2015 and the Public Sector Internal Audit Standards. In accordance with these standards Internal Audit is required to prepare an audit plan on at least an annual basis.
- Internal Audit examines and evaluates the adequacy of the Council's system of internal controls as a contribution to ensuring that resources are used in an economical, efficient and effective manner. Internal Audit is an independent and objective appraisal function established by the Council for reviewing the system of internal control.
- The audit plan is informed by the Council's main strategic risks. This is intended to ensure limited audit resources are prioritised towards those systems which are considered to be the most risky and/or which contribute the most to the achievement of the Council's priorities and objectives.
- The Council seeks to ensure resources are utilised in the most economic, effective

and efficient manner whilst delivering continuous improvement. It aims to achieve this by a variety of means including the following:

- Service/process transformation and efficiency reviews;
- Working with partners;
- External and Internal Audit feedback.

### **Review of Effectiveness**

The Council has responsibility for conducting, at least annually, a review of the effectiveness of its governance framework including the system of internal control. The review of effectiveness is informed by:

- the Annual Internal Audit Assurance opinion, as provided by Veritau North Yorkshire;
- comments made by the external auditors and other review agencies and inspectorates;
- the Overview & Scrutiny Committee review that the elements of the governance framework are in place and effective, to ensure compliance with the principles. They also reviewed the system of internal audit and concluded it was effective and remained a key source of assurance for the Council in 2021/22.

The Overview and Scrutiny (O&S) Committee process has provided challenge and has monitored the Council's policies and performance on an ongoing basis. The Members of O&S have worked together, adopting a non-political approach, developing and using their knowledge and expertise, and that of others to the best effect. An evidence-based approach to the O&S work has been instrumental in achieving good results. Covid impacted on the Committee's work, but it did receive a report from Everyone Active on delivery of the leisure contract and reviewed progress on implementation of the Climate Change Action Plan.

In addition to receiving regular reports on Treasury Management, Risk and Complaints, the Committee supported the Head of Corporate Governance's proposals for two standards sessions to be delivered in 2021. These were

- A workshop on member-officer relations (June 2021), and
- LGA code of conduct sessions on 20 and 25 October.

The Committee also agreed that scoping reports be drawn up for the following topics:

- Affordable Housing – this has been put on hold due to capacity
- Review of Enforcement – this has not been taken forward
- Performance Appraisal System – completed

The Overview and Scrutiny Committee also received reports on:

- A new Model Code of Conduct, which it recommended for approval to Council
- Standards Training
- Local Government and Social Care Ombudsman Report and Annual Letter
- Update on Regulation of Investigatory Powers Act (RIPA)
- Safer Ryedale and the Community Safety Plan
- CIPFA Financial Management Code

- Internal Audit and Counter Fraud Annual Report
- Internal audit and Counter Fraud Work Programme
- Internal audit and Counter Fraud Governance Plan
- Everyone Active Annual Report
- Delivering the Council Plan - Key Performance Indicators for O&S Review
- Health and Safety Annual Report
- External Audit - Interim Audit and Progress Report
- 2020-21 Audit Findings (ISA260) Report
- External Audit Plan
- Equalities Action Plan
- Safeguarding?

The Council is dedicated to ensuring that its resources are utilised in the most effective and efficient manner whilst delivering continuous improvement. Work has been undertaken during the year to ensure members were fully informed of, and involved in, shaping the budget strategy ahead of key meetings in February 2022. During the autumn of 2021, discussion and engagement took place with elected members on priorities for the remaining lifetime of the Council. Two workshops were held in October and a follow-up prioritisation exercise was also carried out.

New financial monitoring arrangements introduced part way through 2018/19 have been and were in place for the full financial year, giving outturn estimates to members on a quarterly basis which can now be seen as being consistent with the final actual outturn position. These include information and projections on costs arising from the pandemic and impact on reserves

To improve the Council's effectiveness of this, capacity and additional support is now in place in services such as Health and Safety, Corporate Governance and Finance, IT, Communications, Transformation, Legal and Procurement. Additional support for Strategy and Performance was implemented in 2021/22 and this has enabled greater scrutiny of the Council's Performance with regular reports now coming to Council.

Based on the assurance work undertaken by Internal Audit, the Head of Internal Audit (Veritau) has provided an opinion on the adequacy of the control environment which concluded that this gave reasonable assurance and also recognised that the Council has made good progress in the last few years to improve its governance arrangements.

Key systems were audited in 2021/22 and a number of audit reports and other pieces of work were provided to management and the O&S Committee.

In January 2022 the Council's external auditors (Grant Thornton) provided the Council with an unqualified opinion on the Council's accounts within their Annual Audit and Inspection letter. We are yet to receive the results of their Value for Money audit.

A new Council Plan was adopted in September 2020; the corporate programme to deliver this, and its underpinning performance framework is ongoing The Council's People and Culture Plan and Council Plan, details key milestones and measures for success relating to organisational development.

## **Coronavirus**

There has continued to be a significant impact on Council services of the coronavirus pandemic in 2021/22. Despite the challenges, the Council has maintained consistent essential services for residents, whilst adapting to provide alternative virtual services wherever possible.

Meetings follow government guidance on making these Covid secure and comply with relevant legislation.

Returns to MHCLG/DLUHC and DHSC and a financial tracker were maintained throughout this period and the financial impact has been reported to members continuously.

## **Key Issues**

No system of governance or internal control can provide absolute assurance against material misstatement or loss. This Statement is intended to provide reasonable assurance. In concluding this overview of the Council's governance arrangements, a number of issues have been identified that need to be addressed to ensure continuous improvement in the Governance Framework. The aim is to address these issues during the 2022/23 financial year, by way of an action plan for improving the governance framework and system of internal control. This will be subject to monitoring by the Overview and Scrutiny Committee.

The most significant issues for the Council to address during 2022/23 will be those resulting from the residual impacts of the pandemic, Local Government Reorganisation (LGR), the invasion of Ukraine and escalating costs of living.

On 21 July 2021, the Government announced that the county, district and borough councils in North Yorkshire will be replaced by a new unitary council. It is expected that the new council will begin to operate on 1 April 2023. This therefore means that the 2022/23 budget for Ryedale District Council will be its last.

This clearly has implications for the period covered by the existing Financial Strategy and removes the need for a budget beyond the next financial year. However the council is obligated to hand over its affairs to the new organisation in the best state it can and this means continuing to tackle known budgetary issues.

The financial implications of the LGR are beginning to be understood, including the use of local-agreed protocols on spending and sharing financial information.

**Key Issues**

In the 2020/21 Annual Governance Statement, seven key issues were identified. All of these ongoing and will be continued (but amended) in the new version.

This Statement is intended to provide reasonable assurance. It is stressed that no system of control can provide absolute assurance against material misstatement or loss. In concluding this overview of the Council’s governance arrangements, a number of issues have been identified that need to be addressed to ensure continuous improvement in the Governance Framework. The aim is to address these weaknesses during the 2022/23 financial year, by way of an action plan for improving the governance framework and system of internal control. This will be subject to monitoring by the Overview and Scrutiny Committee.

**1. Financial Sustainability**

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| <b>Accountable Officer:</b> | s151 Officer   |
| <b>Challenge</b>            | <p>On-going and future changes to the Council’s financial framework including several changes to national and local funding regimes will increase the financial pressure on the Council and risk profile.</p> <p>This needs to be matched with effective financial management on the part of members and officers.</p> <p>This Control Issue was revised in 2020/21 to include dealing with the ongoing impact of the Coronavirus Pandemic. As a result of our monitoring we correctly identified at an early stage that resources from central government did not cover the full costs to Ryedale District Council of the pandemic.</p> |
| <b>Actions</b>              | <p>The agreed Medium Term Financial Strategy of the Council highlights the expected need to make future savings. This informs the budget process for future years for the new North Yorkshire Council</p> <p>The s151 Officer considers the risk as part of the closure of accounts including the need to make appropriate provisions and reserves at the year-end.</p>  |

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|                               | <p>Ensure effective budget management is in operation across the Council, including accurate data and forecasting and reporting to members. Ensure that members are kept aware of all relevant financial information to assist in budget setting in future years.</p> <p>The MTFS will also take into account the ongoing impact of the Coronavirus pandemic (see below).</p> <p>The financial impact of LGR will be a major feature in 2022/23. The LGR decision and other agreements did not affect the requirement on Ryedale District Council to set a legal budget for 2022/23 that addresses the Council’s aims and objectives. There will also be a direct cost to the Council related to the implementation of LGR and some funding has been set aside to cover this. Staff will also be expected to contribute to the transformation to the new council and therefore this could impact on capacity.</p>   |
| <p><b>Progress Report</b></p> | <p>This is a Key Issue which has formed part of the AGS for a number of years, and it is expected that this will continue to be the case.</p> <p>A revised Financial Strategy was approved by Council in February 2022 and members were consulted during the preparation of the budget through reports and briefings.</p> <p>Financial monitoring is now fully in place and has explicitly included the impact of COVID since 2020/21. This will continue in 2022/23 and we will continue to complete a monthly tracker which can be submitted to central government. Through this we will continue to lobby for additional costs that cannot be funded by the council.</p> <p>Reviews on additional services are undertaken to ensure that required capacity is funded and resources managed effectively; this remains critical as the impact of Covid 19 continues to impact on service demand in key areas of business. This increased demand is not anticipated as lessening during 2022/23.</p> <p>A budget for Local Government Reorganisation was agreed by Full Council so that Ryedale can play its part in shaping the new council for North Yorkshire. Costs are being monitored and will be reported as part of budgeting processes.</p> <p>The financial impact of Local Government Review will require careful consideration with regard to use of resources and financial impact as will ongoing monitoring of impact of supply chain disruption and inflationary risks.</p> <p>The revised MTFS will be monitored during 2022/23 to ensure that members of RDC and of the new North Yorkshire Council are aware of the existing financial pressures and opportunities in Ryedale.</p> |

APPENDIX 1

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|  | <p>A Financial Protocol is in place to ensure that any decisions taken by Ryedale which impact on the new council are transparent.</p> <p>Improved procurement support has led to the monitoring of a forward procurement plan and the correct governance around contract management. Again this is being aligned to the future operation of North Yorkshire Council.</p> |
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## 2. Waste and Recycling Review

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| <b>Accountable Officer:</b> | Head of Waste and Environmental Services.  |
| <b>Challenge</b>            | To ensure that RDC achieves efficient and effective waste and recycling collection.  |
| <b>Actions</b>              | <p>Implementation of new optimised household waste and recycling collections linked to new recycling vehicles and waste transfer station.</p> <p>A piece of work on route optimisation will be completed.</p> <p>Delivery programme of new recycling vehicle completed</p>   |
| <b>Progress Report</b>      | <p>This was highlighted as a Key Issue in the 2018/19 AGS and continues to be an area of focus driven by organisational need and national policy change.</p> <p>Significant operational demands were faced during 2020/21 as residents were spending more time at home due to the Covid lockdown periods. This resulted in unprecedented variations in tonnages presented for collection and operational collections were changed to manage the completion of existing rounds with minimal disruption to household waste and recycling services.</p> <p>The new recycling vehicle became operational during 2021/22 following significant vehicle modifications.</p> <p>The implementation of the new optimised household waste and recycling collection rounds has been rescheduled later in 2021/22 (subject to Covid policy directives) now that this new vehicle is in service and given that the country is now emerging from restrictions.</p> <p>In July 2021 DEFRA consulted on a series of proposals on consistency of collections which included a proposal to introduce free garden waste collections by local authorities from 2023/24 and indicated that any increase costs will be covered by new burdens funding.</p> <p>The Environment Act 2021 received Royal Assent on the 9 November 2021 and will require all waste collection authorities in England to arrange for the separate collection of garden waste for recycling or composting. It is expected that the outcome of the DEFRA consultations on the consistency of collections will mandate free garden waste collections from 2023/24.</p> |

APPENDIX 1

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|  | <p>These factors will all be taken into account when moving forward.</p> <p>There is ring-fenced investment in place to facilitate this including a new vehicle replacement programme, which was approved as part of the capital programme for the period 2021/22 to 2024/25, and a streetscene transformation capacity funding which was agreed as part of the 2021/22 revenue budget.</p> |
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### 3. The Local Plan

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| <b>Accountable Officer:</b> | Programme Director for Place and Resources<br>Planning Services Manager  |
| <b>Challenge</b>            | The Local Plan requires review and adoption. This Project is ongoing, beginning in 2020/21 and will continue until adoption. This is estimated to be summer 2023 based on members' current agreements to a limited review of the Plan taking place. Local Government Reform is directing the timeframe for publication of the plan with a need for RDC to agree the publication version prior to vesting day.  |
| <b>Actions</b>              | <p>Review of the development plan as the Local Plan Strategy was seven years old when this was first developed.</p> <p>This will highlight expected levels of development that will take place in the District and will set out the specific types of new development required to meet Ryedale's needs. It will also set out the council's approach to responding to climate change in terms of policies which will be applied to a range of new developments.</p>   |
| <b>Progress Report</b>      | <p>This has been highlighted as a Key Issue since the 2018/19 AGS (for action in 2019/20).</p> <p>The Sites Document was formally adopted on 29 June 2019 as scheduled.</p> <p>Some delay in early stages of the review by the Local Plan Working Party (LPWP) arising from the Covid outbreak and capacity in the officer team. Capacity has been addressed and a timetable for future meetings has also been established. Formal adoption of the review is likely to be July 2023 as set out in the Local Development Scheme (subject to the outcome of the examination and LGR). It should also be noted that this is an area of significant policy interest at a national level, and this may have a bearing on the overall timetable.</p> <p>The target dates for the plan have been agreed by members who also agreed that there would be a limited review of the plan in order to hit the submission for examination targets dates in advance of any local government restructure.</p> <p>Member approved the new Local Development Scheme in February 2021 and the Council's Statement of Community Involvement was approved in March 2021.</p> <p>Work on the collation of evidence to inform initial public consultation and a Call for Sites consultation which ended on 6 July 2021.</p> |

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|  | <p>Work on site assessment is on-going as is consultation on the sites which will continue to the point that the District Council agrees a version of the plan for publication prior to the new North Yorkshire Council coming into being. The publication of the plan at this time will require a revision to the Local Development Scheme. (scheduled for June 2022)</p> <p>Development of the Sustainability Appraisal Scoping report is close to being completed. (April 2022)</p> <p>A Consultation on the Distribution of Development was commenced in November 2021 and this was extended due to email issues (now resolved).<br/>Members of the Local Plan Working Party are now meeting bi-monthly to discuss various elements:</p> <ul style="list-style-type: none"><li>• Spatial Approach</li><li>• Sites</li><li>• Policy choices</li></ul> <p>A Key decisions paper is to be taken to Policy and Resources in June 2022 which will give Officers the ability to direct site assessment work.</p> <p>Additional consultancy support is being commissioned to provide a series of technical evidence documents; critical friend support, and wider technical consultancy support to support Officers and Members and drive progress.</p> |
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#### 4. Resilience and Capacity

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| <b>Accountable Officer:</b> | Head of HR   |
| <b>Challenge</b>            | <p>To ensure sufficient capacity to enable the Council to carry out strategic and/or operational objectives.</p> <p>This also includes HR policies and procedures - review and approval, including staffing aspects of Equality and Diversity.</p>   |
| <b>Actions</b>              | <p>Continuous review of the new arrangements now in place.</p> <p>HR policies should be fit for purpose. Documents should grow and adapt with an organisation. Policy review and revision is a crucial part of an effective policy and procedure management plan.</p> <p>Action plan towards developing level for the Equality Framework for Local Government with respect to staffing matters.</p>  |
| <b>Progress Report</b>      | <p>This was initially highlighted as a Key Issue in the 2018/19 AGS (for action in 2019/20). The Action was that actions from a comprehensive Health Check of HR were followed up and implemented.</p> <p>Capacity and additional support has been put in place in services such as Health and Safety, Enforcement, Planning &amp; Regulatory Services, Corporate Governance, Finance, IT, Communications, Programme Management, Legal, Revenues and Benefits and Procurement. Additional support for Strategy and Performance was planned for implementation in 2020/21, but was delayed by the need to prioritise Covid-19. This is now in place (June 2021).</p> <p>15 HR policies have now been reviewed and either approved by Council or in the process of gaining approval. In its final year, any further reviews and changes will be restricted to any changes required by law.</p> <p>Additional resources were secured during the Covid-19 pandemic to support key areas of the business to function e.g. customer services advisors. However this is constantly under review and pressures in capacity are exacerbated by the work that has been undertaken and is likely to increase on LGR. These capacity pressures will continue to be reviewed during 2022/23 and brought to members' attention where additional capacity is required. This was highlighted in the Financial Strategy presented to Full Council in February 2022 and Council agreed to set aside funding in the budget to assist with this.</p> |

## 5. Economic Recovery and Growth

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| <b>Accountable Officers</b> | <p>Programme Director - Economic Development.</p> <p>Head of Customer Services and Communities.</p>  |
| <b>Challenge</b>            | Economic Recovery and Growth.  |
| <b>Actions</b>              | <p>Delivering a strong, sustainable economy will need to now take account of the recovery required post-Covid to address the long-term, sustainable economic success of the district. This includes addressing the needs of those who are experiencing poverty and severely reduced income as a consequence of the pandemic.</p> <p>Submission of York/North Yorkshire devolution asks to Government were agreed by Elected Members. Submission has occurred and councils across York and North Yorkshire are continuing to ask for a negotiation process with Government to begin.</p> <p>A plan for leaving the European Union is also critical given the impact this may have on many businesses. Component parts of the proposed devolution deal relating to thriving businesses, strong job opportunities, robust infrastructure, and strong culture, tourism and low carbon sectors, are aiming to be progressed through bids into new Government funding tranches (e.g. Community Renewal Funding, Levelling Up) that are replacing EU funding tranches.</p> <p>Inclusive growth is also key to mitigate the increasing levels of poverty that are arising from the consequences of Covid - 19. This includes a focus on partnership initiatives with other key bodies including Town and Parish Councils, NYCC and the voluntary and community sector.</p> |
| <b>Progress Report</b>      | <p>This was highlighted as a Key Issue in the 2018/19 AGS but was revised last year to take account of the recovery required post-COVID</p> <p>Examples of Economic Development work carried out in 2020/21 include</p> <ul style="list-style-type: none"> <li>• the provision of free public wifi in the market towns of Malton, Pickering and Helmsley</li> <li>• securing external funding from the Local Growth Fund to undertake initial feasibility work on a number of projects including a Ryedale Business Centre,</li> <li>• further work on the installation of additional EV charging points throughout Ryedale including in RDC car parks, at Ryedale House and at the RDC Depot on Showfield Lane, Malton.</li> </ul>  |

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|  | <p>In addition to this, during the past two years, a key focus was on providing immediate support to businesses. This included handling increased service demands and direct action to enable businesses to trade safely (e.g. environmental health, community officer roles in Covid secure management, increased benefits claims and housing applications). Grants to business and individuals have been made as quickly and effectively as possible to ease distress and protect lives and livelihoods (e.g. self-isolation, a variety of business grants).</p> <p>The Council’s actions to support economic recovery are aligned to the Council Plan and have also been progressed as part of the regional Local Resilience Forum arrangements.</p> <p>Ryedale is also playing a key role in the management of poverty across York and North Yorkshire, with the Head of Customer Services and Communities acting as the Deputy Chair.</p> <p>Examples of Economic Development work carried out in 2021/22 include:</p> <ul style="list-style-type: none"> <li>• Successful delivery of a further round of the Additional Restrictions Grant scheme to support businesses suffering the impacts of the Omicron variant.</li> <li>• Design and delivery of a number of new and existing RDC grant schemes including Small Arts Grants, RDC Arts Commissions, Small Business Grant and the Community EV Charging Grant Scheme.</li> <li>• Completing the legal and financial processes required to allow for the commencement of the third phase of the Thornton Road Industrial Park in Pickering</li> <li>• Securing £183,500 for up to six new Changing Spaces facilities across Ryedale to be delivered over the next two financial years in partnership with the North York Moors National Park Authority and Forestry England.</li> <li>• Supported the Milton Rooms Committee with the delivery of a £500,000 investment contribution by RDC</li> <li>• Held a successful Jobs Fair at Ryedale House in November 2021</li> </ul> <p>In addition to the above and all other work undertaken by the team, the Local Government Reorganisation process has latterly become a more significant aspect of work, with Officers regularly participating across two major work streams – Economic Development and Culture, Leisure and Sport.</p> <p>Discussions on devolution have now begun and the AGS will be updated to cover these as appropriate.</p> |
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## 6. Climate Change

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| <b>Accountable Officers</b> | Programme Director - Economic Development.   |
| <b>Challenge</b>            | Delivering the Climate Change Action Plan to ensure that Ryedale District Council can contribute towards the reduction in global temperature rise.   |
| <b>Actions</b>              | Progressing Action Plan delivery through numerous projects which include operations, partnerships with public, private, voluntary sectors and Ryedale Environmental sectors and residents, building and assets, transport and vehicles.  |
| <b>Progress Report</b>      | <p>This continues to be highlighted as a Key Issue and specifically to review and enact the Action Plan. This has been done and taken into account in the update below.</p> <p>Agreed three-year revenue budget in place to support delivery. Climate Change Officer in place from Nov 2021.</p> <p>Current achievements include:</p> <ul style="list-style-type: none"> <li>• delivery of LED street lighting across Malton and Norton (264 lamps, equating to a CO<sub>2</sub> saving of 36 tonnes per year).</li> <li>• delivery of 20 fast-charging units (car parks) and 10 RDC workplace units.</li> <li>• delivery of 11.4 mile Malton – Pickering cycle route enhancements.</li> <li>• delivery of first round of the community environmental grant to 6 community groups across Ryedale. Energy efficiency projects with a community focus, ranging from roof insulation to an EV charge point.</li> <li>• delivery of BEIS Local Authority Delivery Grant 2 (LAD2) funding, 73 measured ranging from Solar, EWI, ASHA and SMHC.</li> <li>• secured BEIS Local Authority Delivery Grant 3 (LAD3) &amp; Home Upgrade Grant 1 (HUG1) funding for on and off gas-grid energy-efficient upgrades (EPC ratings E-G). Multiple LAs consortium bid.</li> <li>• secured private rental sector (PRS) minimum energy efficiency funding to support private rental sector compliance to higher EPC ratings.</li> <li>• secured HNDU funding for a local geothermal project in partnership with Third Energy. Funding awarded and phase 1 feasibility ongoing.</li> <li>• supporting Circular Malton and Norton’s Anaerobic Digester project, which would reuse local Malton &amp; Norton commercial food waste.</li> </ul> |

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|  | <ul style="list-style-type: none"> <li>• delivery of electric lifters on Streetscene bin lorries, providing additional CO<sub>2</sub> emission savings.delivery of an eco-tourism support program, whereby five tourism businesses have been supported in creating a sustainability action plan by the University of York. A further five businesses to complete the program by April 2022.</li> </ul> |
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## 7. Council Plan

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| <b>Accountable Officers</b> | CX  |
| <b>Challenge</b>            | A Council Plan is a necessary strategic document for guiding the work programme for the organisation and its employees. The current Council Plan was adopted in September 2020.   |
| <b>Actions</b>              | Now the Council Plan is adopted, a corporate programme and underpinning performance framework is being developed. This will be subject to democratic decision-making in due course.   |
| <b>Progress Report</b>      | <p>This was highlighted as a Key Issue in the 2018/19 AGS (for action in 2019/20) and specifically noted that there would be a revision of the Plan and its priorities. This was achieved.</p> <p>A series of engagement sessions took place with Elected Members and officers to produce the new Council Plan, which was adopted by Council in September 2020. It highlights four main priorities as below:</p> <ol style="list-style-type: none"> <li>1. Our communities: strong, inclusive and attractive</li> <li>2. Our economy: harnessing Ryedale’s unique economy to deliver growth, homes and jobs</li> <li>3. Our environment: a sustainable, safe and clean place to live</li> <li>4. Our organisation: an innovative, enterprising council.</li> </ol> <p>A corporate programme is being delivered to achieve the objectives set out in the Council Plan; the reporting mechanism for this is Policy and Resources. An annual report of performance for 2020/21 was presented to Policy and Resources in September and to full Council in October 2021 with quarterly reporting thereafter.</p> <p>Members have also engaged in a prioritisation exercise which gives direction on the key areas of work to be completed. This and the agreement of RDC’s final budget is enabling officers to focus on delivering strategic goals.</p> |

